Restoring Governance Integrity in Debt Capital Markets: Peterson Frederick Unveils Three-Pillar Reform Model

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Montreal, Canada – September 8, 2026 — Structured finance practitioner Peterson Frederick has released a comprehensive institutional commentary targeting operational risk management and SPV governance reform. Drawing on deep experience across Non-Securitisation ABS, Structured Private Credit, and Debt Capital Markets, Frederick's paper presents a practical reform model to safeguard institutional investors and preserve market stability.

The commentary, titled "The Structural Paradox of Structured Finance: Operational Realities That Undermine SPV Architecture," marks the second installment of the ongoing series "Broken Structured Finance: Where We Keep Getting It Wrong." The research identifies how over-reliance on centralized Corporate Service Providers (CSPs) and nominal governance checks expose bankruptcy-remote vehicles—including UK quoted Eurobonds—to unexpected operational defaults.

To correct these systemic flaws, the paper outlines a Unified Three-Pillar Reform Architecture:

- **Operational Resilience:** Inserting a segregated Administrative Agent (AA) into the transaction design to neutralize CSP operational single points of failure.
- **Prudential Oversight:** Creating an active regulatory oversight standard for CSPs, backed by strict AML mandates, professional indemnity requirements, and public registry visibility.
- **Governance Independence:** Restricting CSP staff from serving as nominal directors while granting authentic independent directors full data access and explicit board authority.

"SPV architecture fails not because the structures are flawed, but because the operational realities surrounding them are allowed to drift. Governance must be treated as a first-order design principle, not an administrative afterthought," stated Peterson Frederick.

This research reinforces Frederick's broader expertise in cross-border capital deployment, ESG/SRI governance integration, and multi-jurisdictional compliance across Canada, the US, and the UK.

To read the full research paper and executive summary, visit: https://www.petersonfrederick.com/The%20Structural%20Paradox. Institutional repository listings are live on SSRN and ResearchGate.

About Peterson Frederick
Peterson Frederick serves as Chairman and Interim CEO of Northern Providence Investments. Based in Montreal, his international corporate practice covers non-securitisation ABS, private debt execution, cross-border regulatory architecture, and institutional ESG/SRI frameworks.

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