Gaineum ?Building a Global, Resilient Investment Management Platform Driven by Compliance and Research

OFFICE OF THE SECRETARY OF STATE
OF THE STATE OF COLORADO

CERTIFICATE OF FACT OF GOOD STANDING

I, Jena Griswold, as the Secretary of State of the State of Colorado, hereby certify that, according to the records of this office,

Gaineum International Capital Inc

is a

Corporation

formed or registered on 01/16/2026 under the law of Colorado, has complied with all applicable requirements of this office, and is in good standing with this office. This entity has been assigned entity identification number 20261055298 .

This certificate reflects facts established or disclosed by documents delivered to this office on paper through 01/14/2026 that have been posted, and by documents delivered to this office electronically through 01/16/2026 @ 03:06:54 .

I have affixed hereto the Great Seal of the State of Colorado and duly generated, executed, and issued this official certificate at Denver, Colorado on 01/16/2026 @ 03:06:54 in accordance with applicable law. This certificate is assigned Confirmation Number 18088104 .

STATE · OF · COLORADO
NIL SINE NUMINE
1876

Jena Griswold

Secretary of State of the State of Colorado

End of Certificate

Notice: A certificate issued electronically from the Colorado Secretary of State's website is fully and immediately valid and effective. However, as an option, the issuance and validity of a certificate obtained electronically may be established by visiting the Validate a Certificate page of the Secretary of State's website, https://www.coloradosos.gov/biz/CertificateSearchCriteria.do entering the certificate's confirmation number displayed on the certificate, and following the instructions displayed. Confirming the issuance of a certificate is merely optional and is not necessary to the valid and effective issuance of a certificate. For more information, visit our website, https://www.coloradosos.gov click "Businesses, trademarks, trade names" and select "Frequently Asked Questions."

Alabaster, Alabama Jan 29, 2026 (Issuewire.com) - Amid rising uncertainty across global financial markets, the investment management industry is undergoing a fundamental reassessment of value. As economic cycles shift more frequently, monetary policy conditions evolve, and asset price volatility intensifies, market participants are increasingly recognizing that long-term value is not driven by short-term speculation, but by systematic investment management grounded in compliance, research, and risk control.

Against this backdrop, a growing number of international investment institutions are returning to the core principles of investing—emphasizing research-driven decision-making, risk-first frameworks, and multi-asset allocation to navigate market cycles. **Gaineum International Capital Inc.**, a U.S.-registered investment management firm operating globally, exemplifies this emerging approach to resilient and disciplined investment management.

Global capital markets are gradually shifting from a pure return-oriented mindset toward a greater focus on process, structure, and governance. Investors are no longer concerned solely with outcomes; instead, they increasingly evaluate whether investment logic is clear, risk exposure is controllable, and operations are transparent and compliant. In this environment, institutions with robust governance frameworks and long-term investment perspectives are becoming increasingly central to global capital allocation.

From a structural standpoint, the firm does not center its operations on single products or short-term opportunities. Instead, it has built a comprehensive operating framework encompassing research, strategy development, asset allocation, and portfolio management. By applying systematic research methodologies to identify mid- to long-term trends and combining them with diversified, multi-asset and multi-regional allocation strategies, the firm seeks to enhance portfolio stability while reducing reliance on any single market.

Risk management is another defining characteristic. In an era where volatility has become the norm, risk is no longer something to be avoided, but rather something that must be identified, measured, and actively managed. Through structured risk classification—covering market, liquidity, structural, and compliance risks—and a full-cycle control mechanism spanning pre-investment, during-investment, and post-investment stages, investment activities are maintained within clearly defined and acceptable risk parameters.

At the same time, compliance and transparency are increasingly recognized as foundational to long-term trust in the international investment landscape. As cross-border operations and global asset allocation needs expand, clear regulatory frameworks, disciplined internal processes, and well-defined business boundaries provide essential support for sustainable institutional development.

As the industry continues to mature and standardize, investment management models centered on long-termism, research-driven insights, and risk-first principles are becoming the prevailing direction. Institutions that prioritize systematic capability over short-term performance are likely to be better positioned to navigate cycles and achieve enduring growth in the global market.

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